

FI 193 – Teoria Quântica de Sistemas de Muitos Corpos

2º Semestre de 2023

21/11/2023

Aula 27

Aula passada

$$H = \sum_{i,\alpha} \frac{P_{i\alpha}^2}{2M} + \frac{1}{2} \sum_{i \neq j} v(\mathbf{R}_i - \mathbf{R}_j)$$

Expandindo em torno da posição de equilíbrio:

$$\mathbf{R}_i = \mathbf{R}_i^{(0)} + \mathbf{u}_i \quad v(\mathbf{R}_i - \mathbf{R}_j) = v(\mathbf{R}_i^{(0)} - \mathbf{R}_j^{(0)} + \mathbf{u}_i - \mathbf{u}_j)$$

$$v(\mathbf{R}_i - \mathbf{R}_j) = v(\mathbf{R}_i^{(0)} - \mathbf{R}_j^{(0)}) + \frac{1}{2} \sum_{i,j,\alpha,\beta} \frac{\partial^2 v}{\partial R_{i\alpha} \partial R_{j\beta}} \Big|_{\mathbf{R}_i^{(0)} - \mathbf{R}_j^{(0)}} (u_{i\alpha} - u_{j\alpha})(u_{i\beta} - u_{j\beta})$$

$$H = \sum_{i,\alpha} \frac{P_{i\alpha}^2}{2M} + \frac{1}{2} \sum_{i,j,\alpha,\beta} u_{i\alpha} C_{ij}^{\alpha\beta} u_{j\beta} \quad \begin{aligned} C_{ij}^{\alpha\beta} &= C_{ij}^{\beta\alpha} = C_{ji}^{\alpha\beta} \\ \sum_j C_{ij}^{\alpha\beta} &= 0 \end{aligned}$$

Aula passada

Transformando Fourier:

$$u_{i\alpha} = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} e^{-i\mathbf{k}\cdot\mathbf{R}_i} u_{\mathbf{k},\alpha} \quad u_{\mathbf{k},\alpha}^\dagger = u_{-\mathbf{k},\alpha}$$
$$P_{i\alpha} = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} e^{-i\mathbf{k}\cdot\mathbf{R}_i} P_{\mathbf{k},\alpha} \quad P_{\mathbf{k},\alpha}^\dagger = P_{-\mathbf{k},\alpha}$$

$$H = \frac{1}{2M} \sum_{\mathbf{k},\alpha} P_{\mathbf{k},\alpha}^\dagger P_{\mathbf{k},\alpha} + \frac{1}{2} \sum_{\mathbf{k},\alpha,\beta} u_{\mathbf{k},\alpha}^\dagger C^{\alpha\beta}(\mathbf{k}) u_{\mathbf{k},\alpha}$$

Aula passada

Diagonalizando a matriz dinâmica:

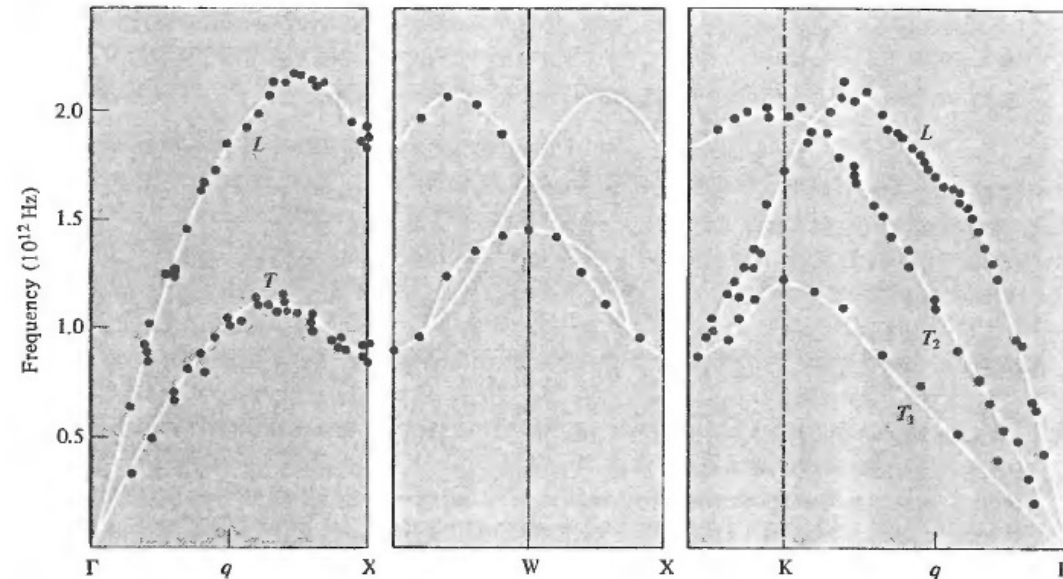
$$\sum_{\beta} C^{\alpha\beta}(\mathbf{k}) \epsilon_s^{\beta}(\mathbf{k}) = M\omega_s^2(\mathbf{k}) \epsilon_s^{\alpha}(\mathbf{k})$$

$$\sum_s \epsilon_s^{\alpha}(\mathbf{k}) \epsilon_s^{\beta}(\mathbf{k}) = \delta_{\alpha,\beta}$$

$$\sum_{\alpha} \epsilon_s^{\alpha}(\mathbf{k}) \epsilon_{s'}^{\alpha}(\mathbf{k}) = \delta_{s,s'}$$

$\epsilon_{s=1}^{\alpha}(\mathbf{k}) \parallel \mathbf{k}$ (ramo acústico longitudinal)

$\epsilon_{s=2,3}^{\alpha}(\mathbf{k}) \perp \mathbf{k}$ (ramos acústicos transversais)



$$\vec{\epsilon}_s(\vec{k}) \propto \frac{\vec{k}}{|\vec{k}|}$$

Aula passada

Expandindo nos vetores de polarização:

$$u_{\mathbf{k},\alpha} = \sum_s u_{\mathbf{k},s} \epsilon_s^\alpha(\mathbf{k})$$
$$P_{\mathbf{k},\alpha} = \sum_s P_{\mathbf{k},s} \epsilon_s^\alpha(\mathbf{k})$$
$$H = \frac{1}{2M} \sum_{\mathbf{k},s} P_{\mathbf{k},s}^\dagger P_{\mathbf{k},s} + \frac{M}{2} \sum_{\mathbf{k},s} \omega_s^2(\mathbf{k}) u_{\mathbf{k},s}^\dagger u_{\mathbf{k},s}$$

Definindo operadores de criação e destruição:

$$u_{\mathbf{k},s} = \frac{1}{\sqrt{2M\omega_s(\mathbf{k})}} (a_{\mathbf{k},s} + a_{\mathbf{k},s}^\dagger)$$
$$P_{\mathbf{k},s} = \frac{1}{i} \sqrt{\frac{M\omega_s(\mathbf{k})}{2}} (a_{\mathbf{k},s} - a_{\mathbf{k},s}^\dagger)$$
$$[a_{\mathbf{k},s}, a_{\mathbf{k}',s'}^\dagger] = \delta_{s,s'} \delta_{\mathbf{k},\mathbf{k}'}$$

$$H = \sum_{\mathbf{k},s} \omega_s(\mathbf{k}) \left(a_{\mathbf{k},s}^\dagger a_{\mathbf{k},s} + \frac{1}{2} \right)$$

Interação elétron-fônon

HAMILTONIANO INTERAÇÃO ELÉTRON-REDE:

$$H_{e-r} = \sum_{i,j} \tilde{V}(\vec{r}_i - \vec{R}_j)$$

\vec{r}_i → POSIÇÃO DO i -ÉSIMO
ELÉTRON

\vec{R}_j → POSIÇÃO DO j -ÉSIMO
ÍON

EXPANDINDO EM TORNO DE $\vec{R}_j^{(0)}$:

$$\tilde{V}(\vec{r}_i - \vec{R}_j) = \tilde{V}(\vec{r}_i - \vec{R}_j^{(0)} - \vec{u}_j) \approx \tilde{V}(\vec{r}_i - \vec{R}_j^{(0)}) - \vec{u}_j \cdot \vec{\nabla} \tilde{V}(\vec{r}) \Big|_{\vec{r} = \vec{r}_i - \vec{R}_j^{(0)}}$$

AO(ω^2)

$\sum_{i,j} \tilde{V}(\vec{r}_i - \vec{R}_j^{(0)})$ = POTENCIAL DA REDE CRISTALINA QUE ATUA SOBRE OS ELÉTRONS.

⇒ A ESTRUTURA DE BANDAS E AS FUNÇÕES DE BLOCH DO ELÉTRONS

$$H_{e-r} = - \sum_{ij} \vec{u}_j \cdot \vec{\nabla} \tilde{V}(\vec{\pi}_i - \vec{R}_j^{(0)})$$

TRANSFORMADA DE FOURIER:

$$\tilde{V}(\vec{\pi}) = V \int \frac{d^3 q}{(2\pi)^3} e^{-i\vec{q} \cdot \vec{\pi}} v(\vec{q})$$

↓
TODO O ESPAÇO \vec{q}

$$\vec{\nabla} \tilde{V}(\vec{\pi}) = -i V \int \frac{d^3 q}{(2\pi)^3} \vec{q} v(\vec{q}) e^{i\vec{q} \cdot \vec{\pi}}$$

$$\begin{aligned} \Rightarrow H_{e-r} &= i V \int \frac{d^3 q}{(2\pi)^3} \sum_{ij} (\vec{q} \cdot \vec{u}_j) v(\vec{q}) e^{-i\vec{q} \cdot (\vec{\pi}_i - \vec{R}_j^{(0)})} \\ &= i V \int \frac{d^3 q}{(2\pi)^3} v(\vec{q}) \left[\sum_j (\vec{q} \cdot \vec{u}_j) e^{i\vec{q} \cdot \vec{R}_j^{(0)}} \right] \left[\sum_i e^{i\vec{q} \cdot \vec{\pi}_i} \right] \\ &= i \sqrt{N} V \int \frac{d^3 q}{(2\pi)^3} (\vec{q} \cdot \vec{u}_{\vec{q}}) v(\vec{q}) \left[\sum_i e^{i\vec{q} \cdot \vec{\pi}_i} \right] \end{aligned}$$

$$\sum_{\vec{r}} e^{-i\vec{q}\cdot\vec{r}} = \int d^3r \underbrace{\sum_{\vec{r}} \delta(\vec{r}-\vec{r}_i)}_{S(\vec{r})} e^{i\vec{q}\cdot\vec{r}} =$$

$S(\vec{r}) = \text{OPERADOR DENSIDADE DOS ELÉTRONS}$

$$S(\vec{r}) = \sum_{\alpha} \psi_{\alpha}^{\dagger}(\vec{r}) \psi_{\alpha}(\vec{r}) = \frac{1}{V} \sum_{\vec{k}, \vec{p}} \sum_{\alpha} e^{-i(\vec{k}-\vec{p})\cdot\vec{r}} C_{\vec{k}, \alpha}^{\dagger} C_{\vec{p}, \alpha}$$

$$= \int d^3r e^{-i\vec{q}\cdot\vec{r}} \sum_{\alpha} \psi_{\alpha}^{\dagger}(\vec{r}) \psi_{\alpha}(\vec{r}) = \sum_{\vec{k}, \alpha} C_{\vec{k}-\vec{q}, \alpha}^{\dagger} C_{\vec{k}, \alpha} = S(-\vec{q})$$

USANDO: $\psi_{\alpha}^{\dagger}(\vec{r}) = \frac{1}{\sqrt{V}} \sum_{\vec{k}} e^{i\vec{k}\cdot\vec{r}} C_{\vec{k}, \alpha}^{\dagger}$

USAREMOS QUE :

$$V \int_{\text{TOPO ESPAÇO}} \frac{d^3\vec{q}}{(2\pi)^3} f(\vec{q}) = \sum_{\vec{k}, \vec{q} \in BZ} f(\vec{k} + \vec{q})$$

$\vec{u}_{\vec{q}} = \sum_s \frac{1}{\sqrt{2M\omega_s(\vec{q})}} (a_{\vec{q}, s} + a_{-\vec{q}, s}^{\dagger}) \vec{E}_s(\vec{q}) = \vec{u}(\vec{k} + \vec{q})$

$$H_{e-ph} = i\sqrt{N} \sum_{\substack{\vec{k}, \vec{k}' \\ \vec{q} \in \text{BZ} \\ \alpha, s}} C_{\vec{k}-\vec{k}-\vec{q}, \alpha}^\dagger C_{\vec{k}, \alpha} V(\vec{k}+\vec{q}) \frac{(\vec{k}+\vec{q}) \cdot \vec{E}_s(\vec{q})}{\sqrt{2M\omega_s(\vec{q})}} (a_{\vec{q}, s} + a_{-\vec{q}, s}^\dagger)$$

PROCESSOS COM $\vec{k} \neq 0$ (UMKLAPP) GERALMENTE SÃO DESPREZÍVEIS EM BAIXAS ENERGIAS.
DESPREZANDO ESSES PROCESSOS:

$$\Rightarrow H_{e-ph} = \sum_{\substack{\vec{k}, \vec{q} \\ \alpha, s}} M_{\vec{q}}^s C_{\vec{k}-\vec{q}, \alpha}^\dagger C_{\vec{k}, \alpha} (a_{\vec{q}, s} + a_{-\vec{q}, s}^\dagger)$$

ONDE

$$M_{\vec{q}}^s = i\sqrt{N} \frac{V(\vec{q}) \vec{q} \cdot \vec{E}_s(\vec{q})}{\sqrt{2M\omega_s(\vec{q})}}$$

SE $\vec{E}_s(\vec{q}) \perp \vec{q}$, $s=2, 2$

$M_{\vec{q}}^s = 0$, $s=2, 3$

ENTÃO APENAS

$M_{\vec{q}}^1 \neq 0$


Resumo

$$H_{ph} = \sum_{\mathbf{q},s} \omega_s(\mathbf{q}) \left(a_{\mathbf{q},s}^\dagger a_{\mathbf{q},s} + \frac{1}{2} \right)$$


$$M_{\mathbf{q},s} = i\sqrt{N} \frac{\tilde{V}(\mathbf{q}) \mathbf{q} \cdot \boldsymbol{\epsilon}_s(\mathbf{q})}{\sqrt{2M\omega_s(\mathbf{q})}}$$

$$H_{e-ph} = \sum_{\mathbf{k} \mathbf{q} s \sigma} M_{\mathbf{q},s} c_{\mathbf{k}+\mathbf{q},\sigma}^\dagger c_{\mathbf{k},\sigma} \left(a_{\mathbf{q},s} + a_{-\mathbf{q},s}^\dagger \right)$$

Função de Green dos fônons

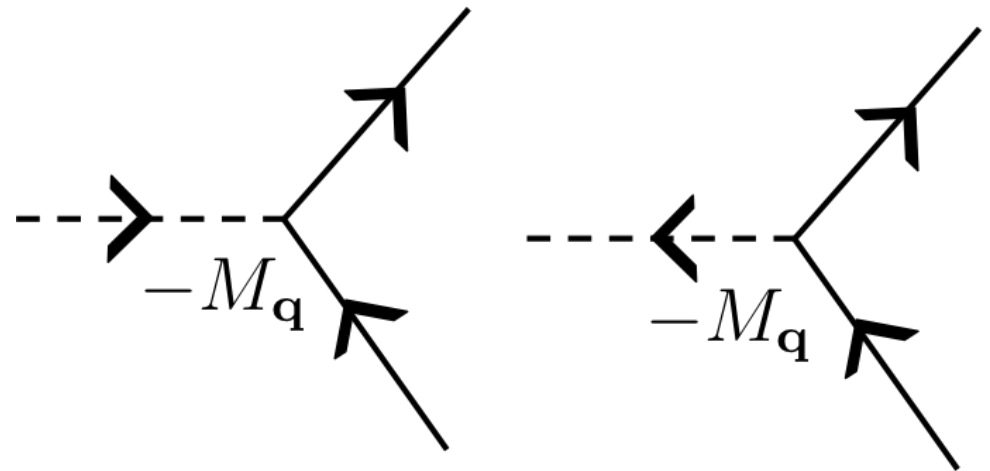


$$D^{(0)}(i\nu_n, \mathbf{q}) = \frac{1}{i\nu_n - \omega_s(\mathbf{q})}$$



$$G^{(0)}(i\omega_m, \mathbf{k}) = \frac{1}{i\omega_m - \epsilon(\mathbf{k})}$$

Vértice elétron-fônon

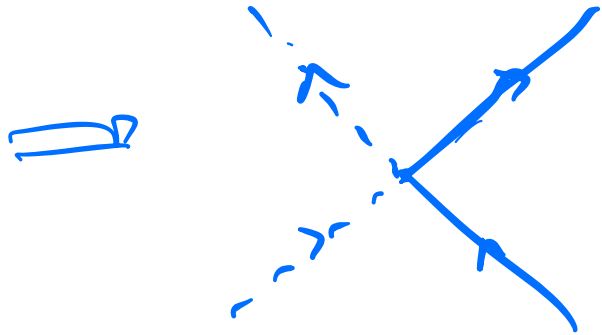


COMENTÁRIOS:

(i) SE $\sqrt{(\vec{k}_i - \vec{k}_j^{(0)} - \vec{u}_j)}$ FOR EXPANDIDO ATÉ A PRÓXIMA

ORDEM:

$$\Rightarrow C_{\vec{k}+\vec{q},\alpha}^{\dagger} C_{\vec{k},\alpha} (a_{\vec{q},s} + a_{-\vec{q},s}^{\dagger}) (a_{\vec{q},s'} + a_{-\vec{q},s'}^{\dagger}) \delta(\quad)$$



(ii) ESTIMATIVAS DE GRANDEZAS DO PROBLEMA
ELECTRON-FONON:

$$\bullet \epsilon(\vec{k}) \sim \frac{\hbar^2 k^2}{2m} \sim \frac{\hbar^2 k_F^2}{2m} = E_F \approx 1-10 \text{ eV} \approx 10^4 - 10^5 \text{ K}$$

$$\bullet \omega_s(\vec{k}) \sim \sqrt{\frac{\hbar^2 k^2}{M}} \sim \sqrt{\frac{\hbar^2 \omega_D^2}{M}} \quad \omega_D \sim E_F \quad R \sim a =$$

= PARÂMETRO DE REDE

$$\sim \sqrt{\frac{E_F}{M a^2}} = \sqrt{\frac{m}{M} \frac{E_F}{m a^2}}$$

$$\frac{[\hbar \omega_D]^2}{m a^2} \sim E_F$$

$$\omega_s(\vec{k}) \approx \sqrt{\frac{m}{M}} \quad E_F \sim 10^{-2} E_F \sim \omega_D$$

$$\sim 10 \text{ meV}$$

$$\frac{m}{M} \sim 10^{-4}$$

$$\Rightarrow \frac{\omega_D}{E_F} \sim \sqrt{\frac{m}{M}} \sim 10^{-2} \ll 1$$

$$\bullet M \sim V u q$$

$$V \sim E_F$$

$$u \sim \frac{1}{\sqrt{M \omega_s}} = \left(\frac{1}{M \sqrt{\frac{m}{M} E_F}} \right)^{1/2} = \frac{1}{(mM)^{1/4} E_F^{1/2}}$$

$$q \sim \frac{1}{a}$$

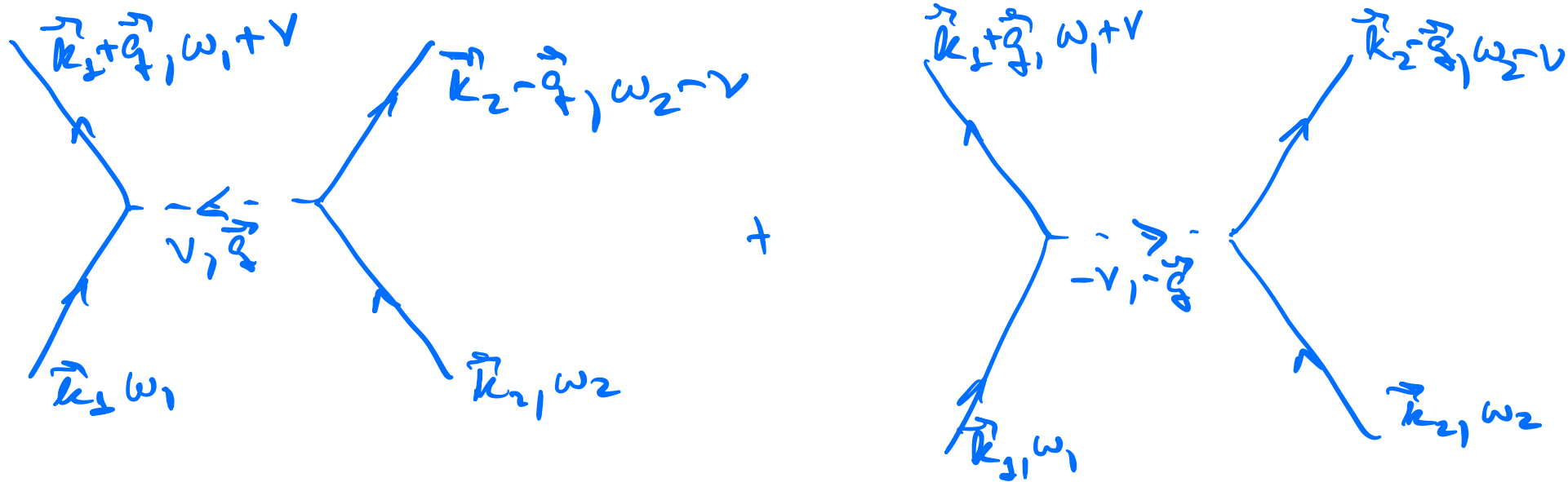
$$\Rightarrow M \sim E_F \frac{1}{a} \frac{1}{\sqrt{E_F} (mM)^{1/4}} = \frac{1}{(mM)^{1/4}} \sqrt{\frac{m E_F}{m a^2}}$$

$$= \frac{1}{(mM)^{1/4}} \sqrt{m} E_F = \left(\frac{m}{M} \right)^{1/4} E_F \sim 10^{-1} E_F$$

QUE NÃO É EXTREMAMENTE PEQUENO MAS

JUSTIFICA UM TRATAMENTO PERTURBATIVO.

A INTERAÇÃO EFETIVA ENTRE OS ELÉTRONS
DEVIDO À TROCA DE FÔNONS:



$$\Rightarrow (-M_{\vec{q}})^2 \left[-D^{(0)}(i\nu_n, \vec{q}) - D^{(0)}(-i\nu_n, -\vec{q}) \right]$$

$$= M_{\vec{q}}^2 \left[\frac{1}{i\nu_n - \omega_s(\vec{q})} - \frac{1}{-i\nu_n - \omega_s(\vec{q})} \right]$$

$$= -M_{\vec{q}}^2 \left[\frac{-2\omega_s(\vec{q})}{-(i\nu_n)^2 + \omega_s^2(\vec{q})} \right] = -M_{\vec{q}}^2 \frac{2\omega_s(\vec{q})}{(i\nu_n)^2 - [\omega_s(\vec{q})]^2} = -V_{\text{eff}}(\vec{q}, \nu_n)$$

$$V_{\text{eff}}(\vec{q}, i\nu_m) = M_q^2 \frac{2\omega_q}{(i\nu_m)^2 - \omega_q^2}$$

INTERAÇÃO RETARDADA

$$i\nu_m \rightarrow \nu \Rightarrow V_{\text{eff}}(\vec{q}, \nu) = M_q^2 \frac{2\omega_q}{(\nu)^2 - \omega_q^2}$$

$$\nu \ll \omega_q \Rightarrow V_{\text{eff}}(\vec{q}, \nu) \approx V_{\text{eff}}(\vec{q}, 0) = -\frac{M_q^2}{\omega_q} < 0$$

ATRATIVA !

$$\nu \gg \omega_q \Rightarrow V_{\text{eff}}(\vec{q}, \nu) > 0 \quad \text{REPULSIVA !}$$

Hamiltoniano efetivo BCS

